



LIMITED SERVICE COMMISSION SCHEDULE
Effective June 15, 2020

Stocks

| Gross Transaction | Commission Rate |
|--------------------------|------------------------|
| First \$3,809.52 | \$40.00 |
| Next \$1,190.48 | 1.05% |
| Next \$5,000 | 0.58% |
| Next \$10,000 | 0.22% |
| Next \$10,000 | 0.16% |
| Next \$70,000 | 0.15% |
| Over \$100,000 | 0.14% |

Options

| Gross Transaction | Commission Rate |
|--------------------------|------------------------|
| \$0 to \$7,500..... | 1.70% |
| \$7,501 to \$15,000..... | 1.40% |
| \$15,001 and Over | 1.00% |

*Plus \$3.00 per option on trades of two or more
Maximum charge is \$75.00 per option or 5% of gross amount, whichever is less.*

Bonds

Bond mark ups and mark downs are dependent on a number of factors, including bond rating, the number of years until maturity and the size of the order. The below rates summarize the range we would charge for a bond of a given bond rating. The AAA scale will be used for US Government and Agency bonds.

| Quality | Mark Up/Mark Down |
|-----------------------------|--------------------------|
| AAA Bonds | 1.00% - 2.25% |
| AA Bonds..... | 1.00% - 2.50% |
| A - BBB Bonds | 1.25% - 3.00% |
| Below Investment Grade..... | 1.25% - 3.50% |

These general guidelines are intended to cover the vast majority of all trading and exceptions are subject to individual trade review. Minimum firm ticket charge is set at \$40 per trade. Cross trades, while viewed as separate transactions, shall not exceed 125% of the individual suggested markup when combined (subject to de minimus exception).

*All transactions subject to an overriding \$40.00 minimum. A charge of \$5.00, in addition to any commission charge, will be added to all confirmations to cover the cost of handling. This commission schedule is subject to change without notice.

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